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2 Coupled Axial Tension-Flexure Behavior of Slender Reinforced Concrete Walls

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13  
14 **Abstract:** Reinforced concrete (RC) walls in high-rise buildings, in particular wall  
15 piers that form part of a coupled or core wall system, may experience coupled axial  
16 tension-flexure loading when subjected to lateral demands. The seismic behavior of RC  
17 walls with various axial tensile forces was investigated by quasi-static tests on four RC  
18 slender walls subjected to the combined tension and flexure loading. The failure modes,  
19 strength and deformation capacity, effective flexural stiffness, and design equations are  
20 presented. The failure modes included flexural-sliding failure and flexural failure. The  
21 effective flexural stiffness and lateral strength of the walls significantly decreased as  
22 the axial tensile forces were increased. The ACI 318-14 and ASCE/SEI 41-13 code  
23 provisions overestimated the effective flexural stiffness of RC walls subjected to axial

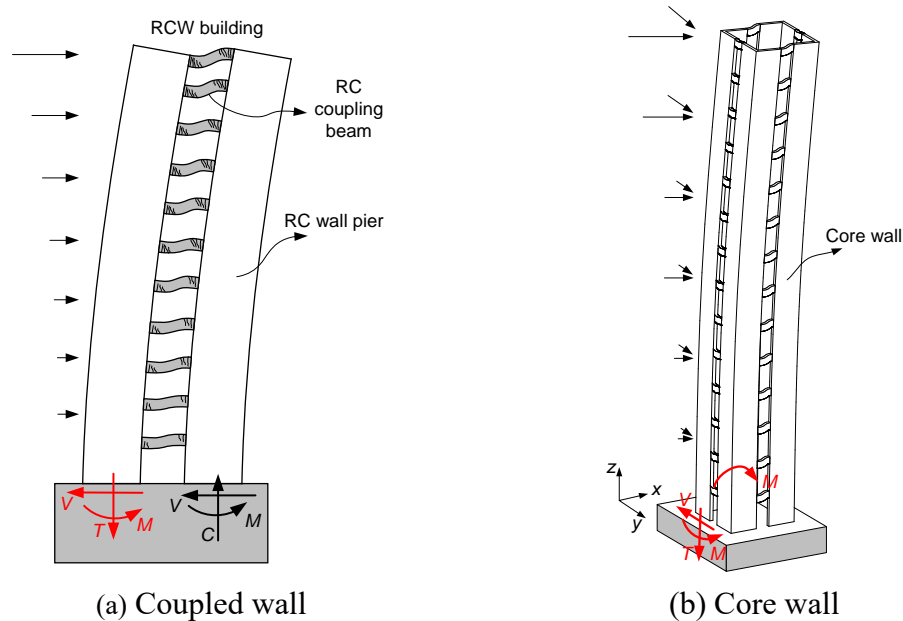
24 tension. Although equations proposed by Paulay & Priestley and Adebar et al. consider  
25 the influence of axial forces, they were not able to accurately predict the effective  
26 flexural stiffness of the RC wall specimens subjected to tensile forces. Both sectional  
27 analysis using XTRACT and JGJ 3-2010 (China) code equations provided accurate  
28 estimation of the flexural yield strength of walls. Finally, both a refined model and  
29 simplified equation were proposed to estimate the axial elongation for RC slender walls  
30 subjected to axial tensile force and cyclic lateral loading.

31 **Keywords:** Reinforced concrete walls; Coupled axial tension-flexure behavior;  
32 Effective flexural stiffness; Design equations; Strength; Axial elongation.

### 33 **1. Introduction**

34 Reinforced concrete (RC) walls are widely used as the major lateral load-resisting  
35 components in high-rise buildings. When subjected to strong ground motions, some  
36 structural walls, in particular wall piers that form part of a coupled or core wall system,  
37 may experience combined axial tension-flexure-shear load. For example, in a coupled  
38 wall system with a high coupling ratio the axial forces induced by coupling beam shears  
39 may result in the wall pier sustaining a net axial tensile force, combined with shear and  
40 bending actions induced by lateral loading, as illustrated in Fig. 1(a). Another example  
41 is a core wall under bi-directional ground motion, as shown in Fig. 1(b), where the  
42 peripheral wall is subjected to the tensile force caused by a large overturning moment  
43 from lateral loading in one direction, and the shear and bending actions induced by  
44 lateral loading in the perpendicular direction. Past earthquake reconnaissance (e.g., the  
45 2010 Chile earthquake [1]), and experimental tests of coupled and core wall systems

46 (e.g., [2-5]) identified such critical loading conditions for RC walls in high-rise  
47 buildings.



**Fig. 1.** RC walls undergoing combined axial tension-flexure-shear actions.

48 Past research indicates that axial tension leads to decreased lateral stiffness and  
49 strength for RC members, which may result in force redistribution among structural  
50 components [2-5]. Therefore, special attention shall be given to RC walls that may be  
51 subjected to combined axial tension-flexure-shear during seismic design of high-rise  
52 buildings. However, fundamental experimental research on combined axial tension-  
53 flexure-shear behavior of RC walls remains limited.

54 Recently an increased attention has been given to the behavior RC walls under  
55 complicated loading conditions. Structural walls are generally classified by wall aspect  
56 ratio or shear-to-span ratio. The slender wall (also named as “high-aspect-ratio wall”)  
57 is usually defined for walls having aspect ratio greater than approximately 2.0. The  
58 squat wall (also named as “low-aspect-ratio wall”) is defined for walls having aspect  
59 ratio less than approximately 1.0. The walls between these aspect ratios are referred to

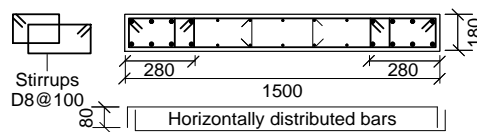
60 as the moderate-aspect-ratio wall. Wang et al. [6] and Ren et al. [7] conducted a series  
61 of tests of moderate-aspect-ratio RC walls subjected to axial tension and cyclic lateral  
62 loading. Various failure modes were observed which were related to the magnitude of  
63 applied axial tension, steel reinforcement ratio, and shear-to-flexure strength ratio. Ji et  
64 al. [8] presented the coupled axial tension-shear behavior of low-aspect-ratio RC walls,  
65 of which the strength was governed by shear and sliding, rather than flexure. The test  
66 results indicated that the axial tensile force significantly affected the failure modes,  
67 shear stiffness and lateral strength of RC walls. Design formulae of shear stiffness and  
68 strength of RC walls under axial tension were estimated using the test data.

69 This paper presents the coupled axial tension-flexure behavior of high-aspect-ratio  
70 RC walls that are designed to be flexure controlled in accordance with capacity design  
71 principles. A series of tests were conducted on large RC wall specimens subjected to  
72 tensile forces and cyclic lateral loading. The first objective of this study is to determine  
73 how axial tensile forces influence failure modes, flexural strength and stiffness of RC  
74 slender walls. The second objective is to calibrate design formulas used to calculate the  
75 stiffness and strength of RC walls for combined flexure and axial tensile load (e.g., as  
76 specified in ACI 318-14 (U.S. code) [9] and JGJ 3-2010 (China code) [10]). As the axial  
77 elongation of RC walls would result in force redistribution, a new refined mechanics  
78 model and simplified equation are developed to trace the axial elongation of RC walls  
79 subjected to axial tension and cyclic lateral loading.

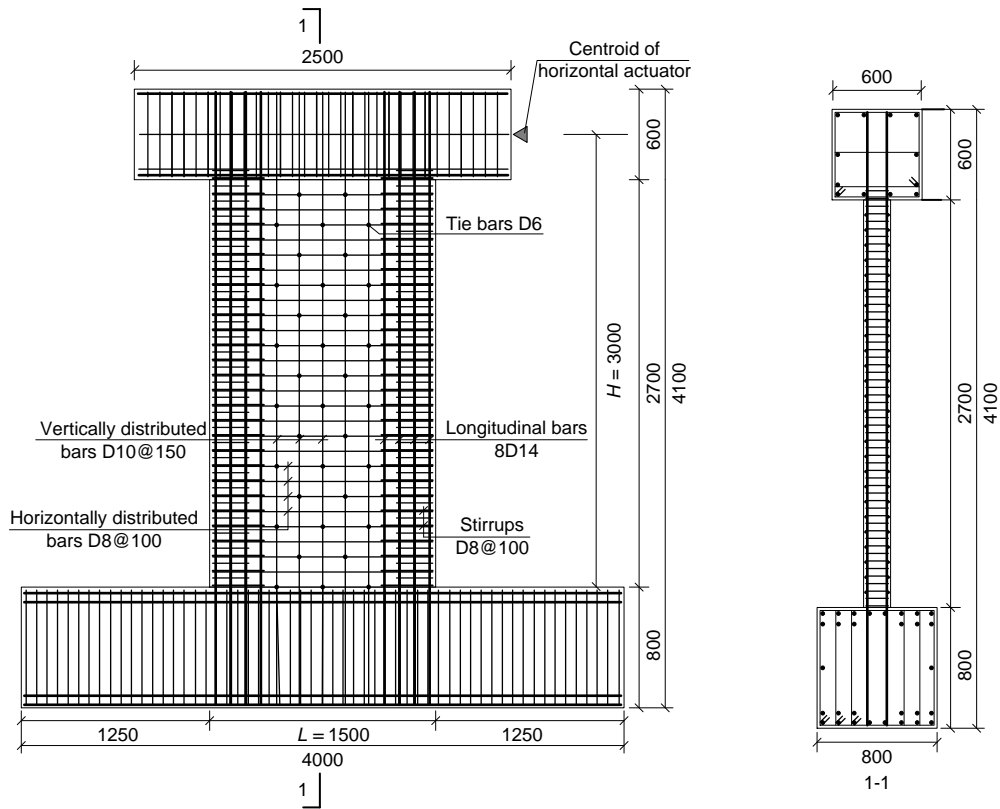
80 **2. Experimental Program**

81 *2.1. Test walls*

82 The test walls were designed to represent structural walls in the lower stories of a  
83 high-rise building. The length and thickness of the prototype wall were 4.5 m and 0.54  
84 m, respectively. To accommodate the capacity of the loading facility, the wall specimens  
85 were fabricated to 1/3 scale of the prototype wall, with a length and thickness of 1.5 m  
86 and 0.18 m, respectively. The wall had a clear height equal to 2.7 m. A total of four wall  
87 specimens (labeled as HSW1 to HSW4) were designed and fabricated, each with  
88 identical geometry dimensions and reinforcement details as shown in Fig. 2. A top beam  
89 and foundation beam were fabricated together with the wall to allow for load  
90 application and anchorage of the specimen to reaction floor. The specimens were casted  
91 in the vertical position. The foundation beams were initially fabricated, followed by  
92 construction of the wall and top beam. The surface of hardened concrete of the  
93 foundation beam was cleaned before casting of the wall concrete. The foundation and  
94 top beams were capacity designed to ensure they remained elastic during testing.



(a) Cross section



(b) Elevation view (c) Elevation view 1-1  
**Fig. 2.** Geometry and reinforcement of specimens (units: mm).

95 Boundary elements, which extend for 280 mm from the wall edge, were designed  
 96 for the walls. A total of eight D14 (diameter of 14 mm) steel reinforcing bars  
 97 (hereinafter referred to as rebar) were used as longitudinal reinforcement for each  
 98 boundary element, corresponding to a 2.3% reinforcement ratio (the ratio of gross cross-  
 99 sectional area of longitudinal rebar to that of the boundary element). The vertically  
 100 distributed reinforcement in the web comprised D10 steel rebar at a spacing of 150 mm,  
 101 corresponding to a 0.58% reinforcement ratio. The horizontally distributed  
 102 reinforcement comprised D8 steel rebar at a spacing of 100 mm, corresponding to a  
 103 0.56% reinforcement ratio. The boundary transverse reinforcement consisted of D8  
 104 steel rebar fabricated as rectangular hoops with a vertical spacing of 100 mm,  
 105 corresponding to 1.5% volumetric transverse reinforcement ratio. The reinforcement

106 ratios of the test specimens are within normal range used for the RC structural walls of  
107 high-rise buildings in China. The boundary elements and reinforcement of the  
108 specimens satisfied the requirement of seismic ductile walls specified in the Chinese  
109 Technical Specification for Concrete Structures for Tall Buildings (JGJ 3-2010) [10].

110 The strength grade of concrete used in the wall specimens was C35 (nominal cubic  
111 compressive strength  $f_{cu,n} = 35$  MPa). The aggregate size in the concrete ranged from  
112 4.8 mm to 26.5 mm, with a mean value of approximately 16 mm. The measured  
113 compressive strength  $f_{cu}$  of the concrete using 150 mm cubes was 42.6, 27.9, 38.3 and  
114 32.5 MPa for specimens HSW1 through HSW4. The value of  $f_{cu}$  was measured on the  
115 day of specimen testing, and it was taken as the average value for three cubes. The axial  
116 compressive strength of concrete  $f_c$  was taken as  $0.76f_{cu}$  in accordance with the Chinese  
117 Code for Design of Concrete Structures GB 50010-2010 [11]. The assumed value of  
118 axial tensile strength of concrete  $f_t$  was taken as  $0.395f_{cu}^{0.55}$  in accordance with  
119 GB50010-2010 [11].

120 All steel rebar used for the wall specimens had a strength grade of HRB400  
121 (nominal yield strength  $f_{y,n} = 400$  MPa), which is commonly-used for building  
122 constructions in China. Table 1 summarizes the measured reinforcement yield strength,  
123 ultimate strength and uniform elongation (i.e., measured strain corresponding to the  
124 peak stress). These are the average values obtained by three standard rebar tensile tests  
125 for each type of steel rebar.

126 **Table 1** Material properties of steel rebar used in experimental specimens.

Diameter (mm)	Yield strength $f_y$ (MPa)	Ultimate strength $f_u$ (MPa)	Uniform elongation $\delta$ (%)
6	479.2	590.3	16.5



8	426.3	555.2	12.6
10	396.3	555.3	11.4
14	466.7	539.4	7.9

127 2.2. Axial tensile force

128 In practical design, the Chinese Technical Guideline of Peer Review for Seismic  
129 Design of Super-Tall Buildings [12] stipulated that the ratio of average nominal tensile  
130 stress of a section to the tensile strength of concrete  $f_t$  shall be less than 1.0 for RC walls  
131 under the design basis earthquake (DBE, with a probability of exceedance of 10% in  
132 50 years). Otherwise, the use of steel reinforced concrete (SRC) walls or steel-plate  
133 composite walls is recommended [13-16], for enhancement of the wall's strength  
134 capacity. Therefore, normalized concrete tensile stress ( $n_c$ ), as defined in Eq. (1), was  
135 used as an indicator to quantify the magnitude of axial tensile force.

$$n_c = \frac{T_n}{(A_c + A_s E_s / E_c) f_t} \quad (1)$$

136 where  $T_n$  denotes the axial tensile force of the wall,  $A_c$  denotes the cross-sectional area  
137 of concrete,  $A_s$  denotes the cross-sectional area of vertical reinforcement (including  
138 vertically distributed rebar and boundary longitudinal rebar),  $E_s$  and  $E_c$  denote the  
139 elastic modulus of steel and concrete, respectively, and  $f_t$  denotes the axial tensile  
140 strength of concrete.

141 For  $n_c \leq 1$ , the value of  $n_c$  represents the ratio of nominal axial tensile stress to  
142 concrete tensile strength. However, for  $n_c > 1$ , concrete sustains tensile cracking and the  
143 tensile force is carried only by vertical reinforcement at cracked sections. Therefore,  
144 normalized reinforcement tensile stress ( $n_s$ ), as defined in Eq. (2), is proposed as another  
145 indicator to quantify the magnitude of axial tensile force [5,8]. Note that  $n_s = 1$

146 corresponds to the axial tensile yield strength of RC walls.

$$n_s = \frac{T_n}{A_s f_y} \quad (2)$$

147 where  $f_y$  denotes the yield strength of vertical reinforcement.

148 Table 2 summarizes the values of axial tensile force and the values of  $n_c$  and  $n_s$  for  
149 the four test walls. In the calculation, the measured strengths of concrete and rebar were  
150 adopted. The  $n_s$  values ranged from 0.23 to 0.91 for the specimens HSW1 through  
151 HSW4. The experiment test of a substructure model representative of a modern coupled  
152 wall in a 10-story building [5] indicated that the axial tensile force level  $n_s$  of the wall  
153 piers could reach up to 0.72. Increase of the coupling ratio or structural height of the  
154 coupled wall system would lead to further increase of the  $n_s$  value of wall piers.

155 **Table 2** Axial tensile force values of RC wall specimens.

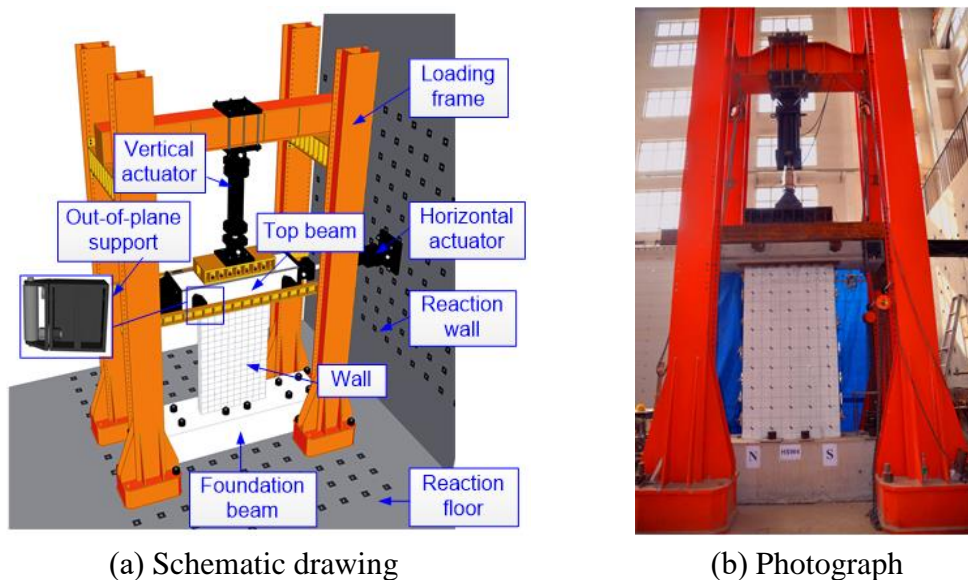
Spec. no.	HSW1	HSW2	HSW3	HSW4
$T_n$ / (kN)	322	538	897	1291
$n_c$	0.33	0.73	0.98	1.73
$n_s$	0.23	0.38	0.63	0.91

### 156 2.3. Test setup

157 The test setup is shown in Fig. 3. The foundation beam was clamped to the reaction  
158 floor and the top beam was clamped to two hydraulic actuators, one in the horizontal  
159 direction and the other in the vertical direction. Out-of-plane support was provided to  
160 prevent out-of-plane deflections and twisting of the wall specimen during testing.

161 Two phases of loading were included in the test. The first phase consisted of  
162 applying the vertical axial tension to the specimen using the vertical actuator with  
163 increments of  $0.2T_n$  until the target tensile force  $T_n$  was reached. After applying the axial  
164 tension, the vertical actuator was controlled to maintain a constant axial tension

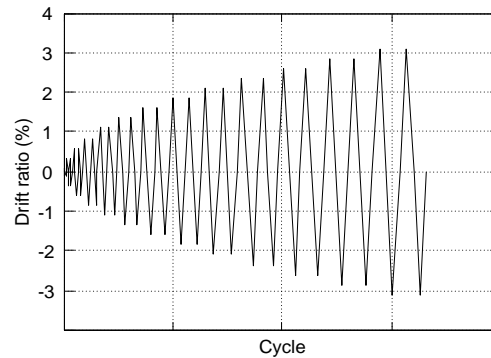
165 throughout the testing. The second phase of loading consisted of the cyclic lateral loads  
166 that were applied by the horizontal actuator. As shown in Fig. 2, the distance  $H$  from  
167 the top of the wall base to the centroid of the horizontal actuator was 3000 mm, and  
168 thus the aspect ratio ( $H/L$ , i.e., shear-to-span ratio) of the wall specimen was equal to  
169 2.0.



**Fig. 3.** Test setup.

170 Fig. 4 shows the history of cyclic lateral loading, which was determined based on  
171 the Chinese Specification for Seismic Testing of Buildings (JGJ 101-2015) [17]. In the  
172 elastic region, two levels of lateral drift loading were included (0.1% and 0.2%) and  
173 one cycle was performed at each level. After the specimen reached the predicted yield  
174 drift (i.e., 0.35%), the lateral displacement load was increased at 0.25% increments, and  
175 two cycles were repeated at each drift level. In the test, push was defined as positive  
176 loading and pull as negative loading, and each push was followed by a pull for each  
177 cycle. As per the Chinese code JGJ 101-2015 [17], the test was terminated when the  
178 lateral force of the specimen dropped below 85% of the maximum lateral force or the  
179 specimen could not sustain the axial tensile force, due to fracture of the vertical

180 reinforcement.



**Fig. 4.** Cyclic lateral loading history.

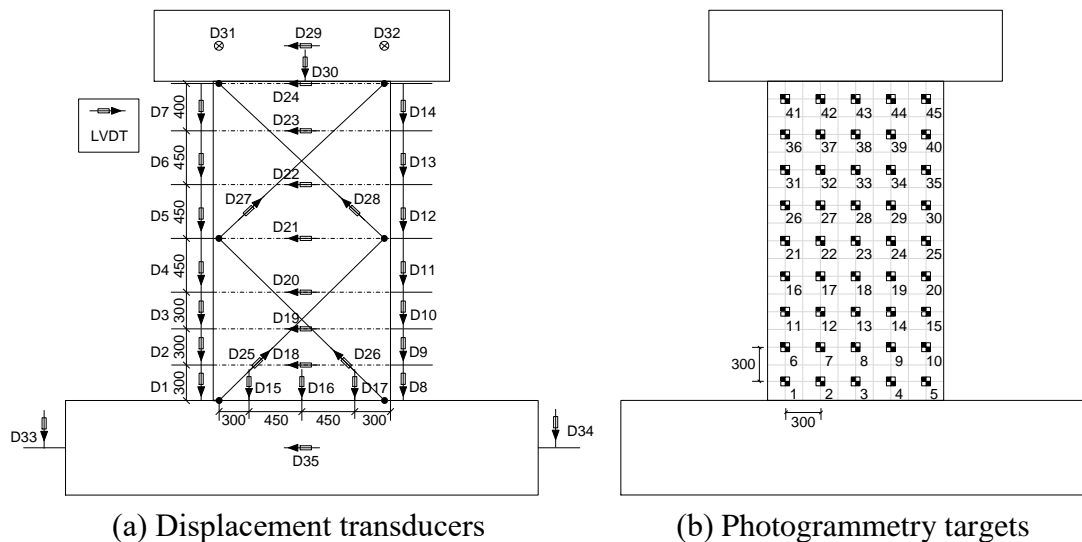
181 It is acknowledged that the loading in this testing scheme, combined with initially  
182 applied constant axial tensile forces and increased cyclic lateral loads, may not exactly  
183 represent the actual loading condition of walls in a high-rise building. In a couple wall  
184 system, the wall pier would be subjected to varied axial tensile forces at different lateral  
185 drifts, and the axial forces would change from tension to compression during the lateral  
186 drift reversal. Nevertheless, the loading method in this program provides an effective  
187 way to examine how different magnitudes of axial tension influence the flexural  
188 behavior of the RC walls, which is the main objective of the study. The influence of  
189 loading history on the crack pattern and behavior of the walls is out of the scope of this  
190 paper and is left for future study.

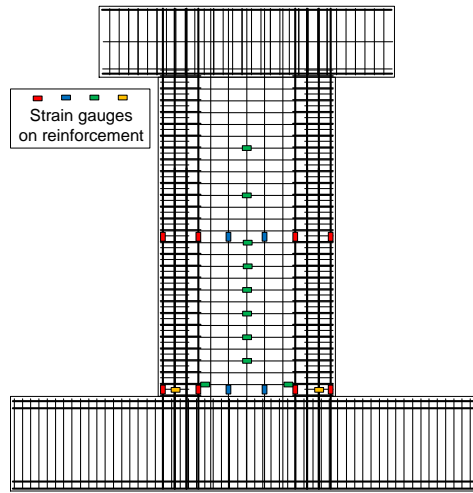
#### 191 *2.4. Instrumentation*

192 Load cells were used to measure vertical tension and lateral force applied by the  
193 actuators. The layout of linear variable differential transformers (LVDTs) mounted on  
194 the specimens are shown in Fig. 5(a). LVDT D29 measured the lateral displacement at  
195 the centroid of the top beam, which was also used for displacement control of lateral  
196 loading. LVDTs D18 through D24 measured lateral displacement distribution along the

197 wall height. Two pairs of inclined LVDTs (D25 through D28) measured the shear  
 198 deformation of the wall, and fourteen LVDTs (D1 through D14) were mounted along  
 199 both wall edges to measure flexural deformation of the specimen. Three LVDTs (D33  
 200 through D35) were used to monitor possible rotation and slip of the foundation beam.  
 201 LVDTs D1, D15 to D17, and D8 were used to measure the vertical strain distribution  
 202 over the cross-section at the wall bottom. LVDT D30 was used to monitor axial  
 203 elongation at the centroid of the wall top. Out-of-plane deformation was monitored by  
 204 D31 and D32. In addition, a photogrammetric system using high-resolution digital  
 205 cameras was used for displacement measurement as well. Photogrammetry targets were  
 206 placed on the full specimen with 300 mm grid spacing, as shown in Fig. 5(b).

207 Reinforcement strains were measured using thirty strain gauges that were mounted  
 208 on the distributed rebar, as well as on the boundary longitudinal and transverse rebar,  
 209 as shown in Fig. 5(c).





(c) Strain gauges

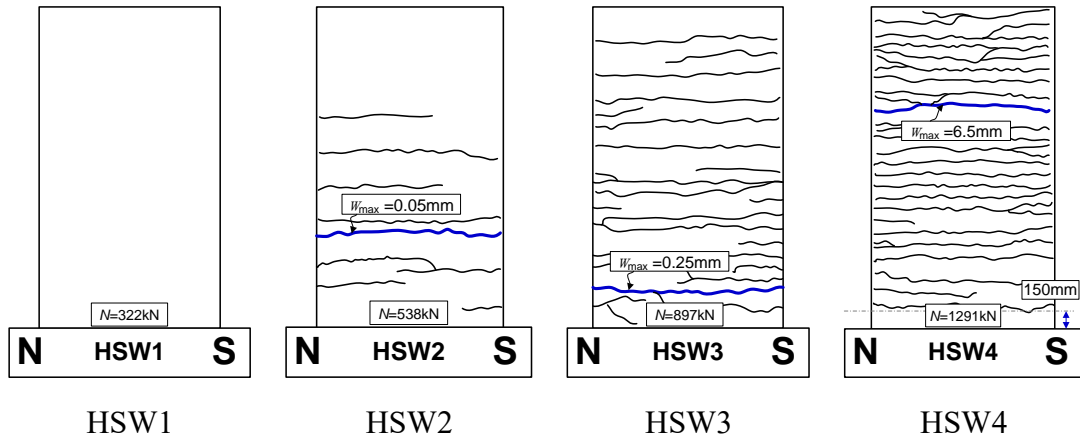
**Fig. 5.** Layout of instruments.

210 **3. Experimental results**

211 *3.1. Damage and failure modes*

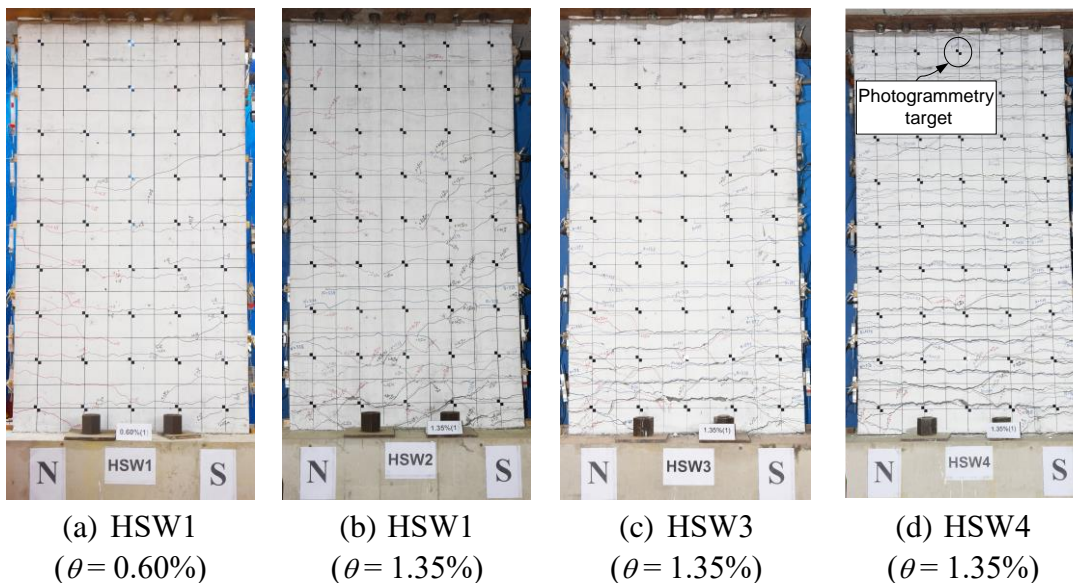
212 Fig. 6 shows the cracking patterns of wall specimens after applying the axial tensile  
 213 force and prior to any lateral loading. The widest cracks are also identified in this figure.  
 214 Horizontal thorough cracks were observed in specimens HSW2 through HSW4, while  
 215 no cracks occurred in HSW1. For specimens HSW2 and HSW3, the wide horizontal  
 216 cracks mainly appeared in the lower portion of walls and the widest cracks were 0.05  
 217 mm and 0.25 mm, respectively. For specimen HSW4, dense horizontal cracks  
 218 developed along the entire height of wall with a spacing of approximately 150 mm and  
 219 the widest crack was located at the upper portion of wall with a thickness of 6.5mm.  
 220 Note that although the value of  $n_c$  for specimens HSW2 and HSW3 was less than 1.0  
 221 (see Table 2), the wall specimens sustained cracking after the application of axial tensile  
 222 force. It is because the drying shrinkage effect of concrete was not accounted for the  
 223 calculation of  $n_c$  [8]. As the reinforcement provided the constraint to the concrete  
 224 shrinkage, the internal tensile stresses developed in the wall concrete. When the total

225 concrete tensile stresses, including the shrinkage tensile stresses and the additional  
 226 tensile stresses induced by the external tensile force, reached the concrete tensile  
 227 strength, cracking occurred in the walls.



**Fig. 6.** Crack patterns of specimens after applying axial tensile force.

228 After applying cyclic lateral loading, the initial horizontal cracks widened and  
 229 some inclined cracks developed from the wall edges extended to the center of wall.  
 230 Finally, two types of failure modes were observed in the tests: (a) flexural-sliding failure  
 231 (for specimens HSW1, HSW2 and HSW3) and (b) flexural failure (for specimen  
 232 HSW4). The crack patterns of the wall specimens at peak lateral load and at the end of  
 233 testing are shown in Fig. 7 and Fig. 8, respectively.



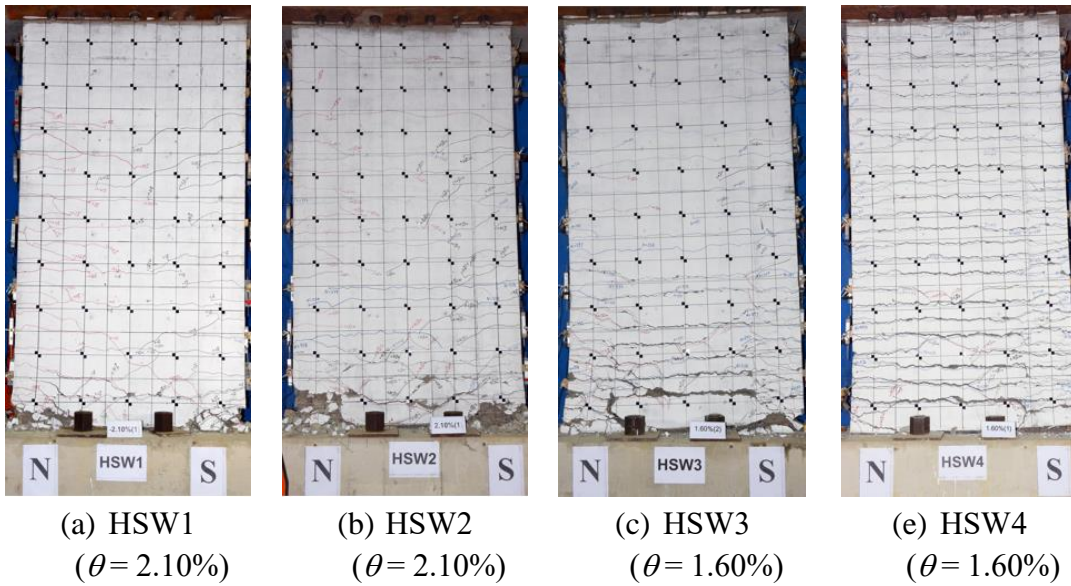
(a) HSW1  
 $(\theta = 0.60\%)$

(b) HSW2  
 $(\theta = 1.35\%)$

(c) HSW3  
 $(\theta = 1.35\%)$

(d) HSW4  
 $(\theta = 1.35\%)$

**Fig. 7.** Photographs of crack patterns of specimens at peak lateral load.



**Fig. 8.** Photographs of specimens at end of testing.

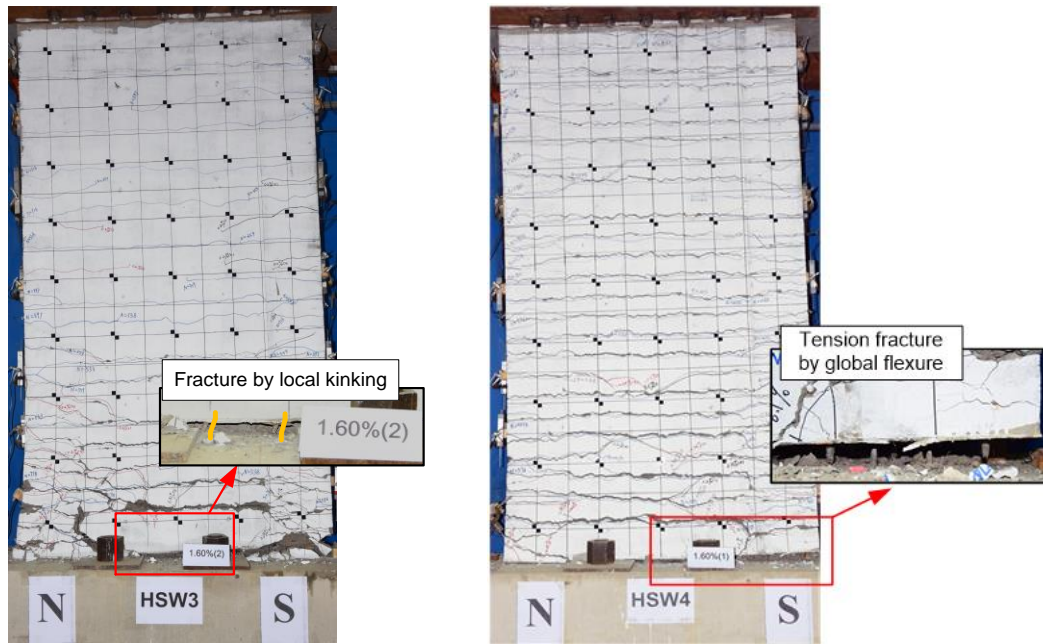
234 **Flexural-sliding failure:** Specimens HSW1, HSW2 and HSW3 that had the  
235 normalized concrete tensile stress  $n_c < 1.0$  sustained flexural-sliding failure. The three  
236 specimens all failed in a progression of flexural cracking, yielding of vertical  
237 reinforcement, spalling of concrete cover, sliding along the wall base surface and  
238 fracture of boundary longitudinal rebar. The so-called ‘flexural-sliding failure’ mode is  
239 characterized by a transition from initially yielding of the wall’s boundary longitudinal  
240 rebar and vertically distributed rebar mainly induced by flexural deformation to the  
241 sliding failure along the critical crack surface. The following describes the observed  
242 behavior of HSW3 as an example for illustration of the damage progression and  
243 flexural-sliding failure.

244 The first horizontal flexural crack at the wall boundary was observed at 0.2 % drift.  
245 Upon further loading reversal, the boundary longitudinal rebar yielded. Minor spalling  
246 of concrete cover at two wall edges adjacent to the wall-foundation block interface  
247 occurred at 0.85% drift. Afterwards, all vertical reinforcement yielded under combined



248 flexure and axial tensile demands, and thus horizontal cracks at the wall base widened  
249 significantly. At 1.35% drift, an obviously horizontal sliding surface along the wall-  
250 foundation block interface was formed. Upon further loading, the wall specimen  
251 obviously slid along the sliding surface, and the vertical reinforcement that passed  
252 through the sliding surface showed local flexural and kinking deformation (see Fig.  
253 9(a)). Finally, the wall specimen failed due to the fracture of the boundary longitudinal  
254 rebar and vertically distributed rebar. It is noted that the reinforcement fracture in  
255 specimens HSW1 through HSW3 was due to the local flexural and kinking  
256 deformations on the rebar, rather than large tensile strain caused by the bending moment.

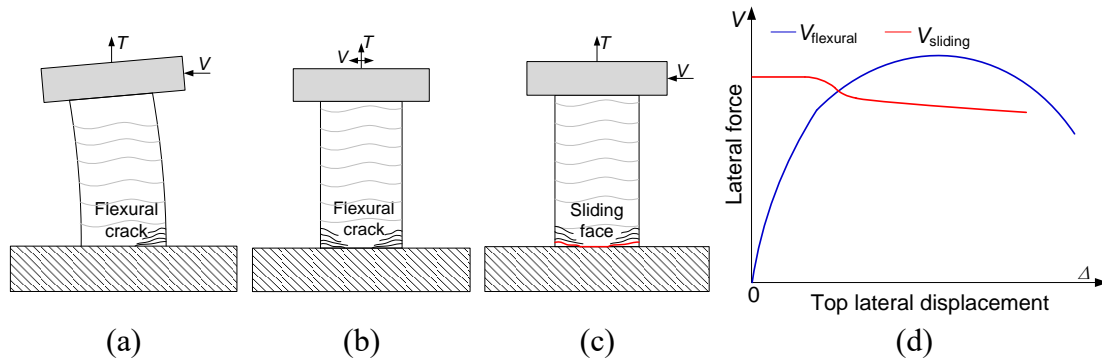
257 Fig. 10 conceptually illustrates the mechanism of flexural-sliding failure. Under  
258 small lateral load, flexural deformation dominated the behavior of wall specimen,  
259 which was mainly characterized by development of flexural cracks at the wall boundary  
260 (Fig. 10 (a)). Upon further cyclic reversal, flexural cracks extended from edges to the  
261 wall centroid, and finally developed into a continuous, approximately horizontal,  
262 sliding surface at the wall base (Fig. 10(b) and (c)). After the boundary longitudinal  
263 rebar yielded, the flexural cracks widened with increased lateral load. The compression  
264 zone became smaller, resulting in a decrease in the sliding shear resistance along the  
265 sliding surface. When sliding shear resistance decreased below the lateral load, sliding  
266 occurred and there was a shift to sliding-dominated behavior (Fig. 10(d)).



(a) HSW3

(b) HSW4

**Fig. 9.** Deformation mode of fractured reinforcement for wall specimens.



(a)

(b)

(c)

(d)

**Fig. 10.** Schematic diagrams illustrating the mechanism of flexural-sliding failure.

267 **Flexural failure:** Specimen HSW4 that had a normalized concrete tensile stress  
 268  $n_c > 1.0$  experienced flexural failure characterized by the tensile fracture of boundary  
 269 longitudinal rebar at the wall base. When applying the lateral loading, the initial  
 270 horizontal cracks in a region of 600 mm above the wall base significantly widened and  
 271 new flexural cracks developed at the wall boundary element. Upon further loading,  
 272 slight sliding was observed along several of the wide horizontal cracks. Ultimately, the  
 273 boundary longitudinal rebar fractured due to large tensile strain caused by combined  
 274 flexure and large axial tension demands (see Fig. 9 (b)), which is different from the

275 reinforcement fracture in specimens HSW1 through HSW3 (due to local kinking  
276 deformations).

277         Interestingly, no buckling of vertical rebar was observed in the tests although it  
278 often occurred in past tests of RC slender walls subjected to axial compressive force  
279 and cyclic lateral loading. Besides, the failure of the specimens was also different from  
280 cyclic test observations of some RC walls having no axial load or low level of axial  
281 compression load, where the out-of-plane buckling developed in the wall compression  
282 boundary [18]. The out-of-plane buckling of wall compressive boundary was due to the  
283 distortion of reinforcement under the load reversal from large tensile strains and non-  
284 uniform crack closure. In this test program, the axial tensile force remained constant  
285 upon load reversal, resulting in the vertical reinforcement kept in tensile strain as  
286 indicated by the strain measurement data. It is the major reason why neither buckling  
287 of vertical rebar nor out-of-plane buckling of wall compressive boundary occurred in  
288 the wall specimens of this test program. However, in a coupled wall system, the axial  
289 force of the wall piers would vary from tension to compression during the lateral drift  
290 reversal, and the compressive axial force may trigger the buckling of vertical  
291 reinforcement or out-of-plane buckling of compressive boundary. Investigation on the  
292 influence of axial load variation on seismic behavior of RC walls is needed in future  
293 study.

### 294 *3.2. Lateral load responses*

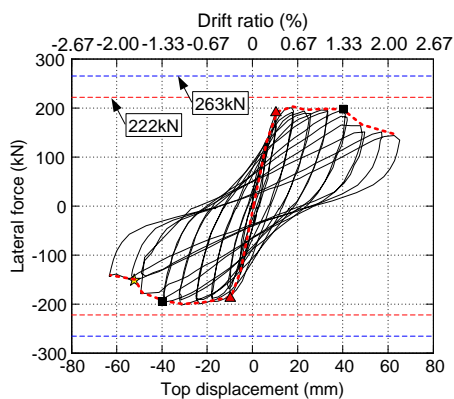
295         Fig. 11 shows the lateral force versus top displacement hysteresis response,  
296 measured by LVDT D29 for four specimens. The points corresponding to the yielding

297 of boundary longitudinal rebar, yielding of vertically distributed rebar and fracture of  
298 boundary longitudinal rebar are identified in Fig. 11. The yielding of reinforcement was  
299 determined based on the strain gauge data. Note that the strain-gauge measurements  
300 indicated that the horizontally distributed rebar and boundary transverse reinforcement  
301 did not yield during any of the tests.

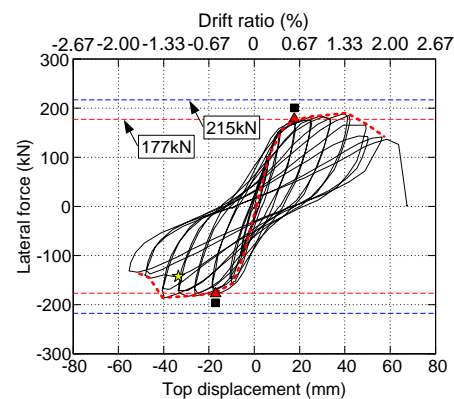
302 In addition, cross-section analysis was conducted using the program XTRACT [19]  
303 and the measured material properties of the wall specimen. In the analysis, the concrete  
304 confinement effect and strain hardening of reinforcement were considered in the  
305 material properties (more details can be found in sub-section 4.3). The calculated shear  
306 force corresponding to the wall's flexural yield strength ( $V_y @ M_{y,XTRACT}$ ) and that  
307 corresponding to the flexural strength capacity ( $V_p @ M_{p,XTRACT}$ ) using XTRACT  
308 section analysis are plotted in Fig. 11 as well. Note that the yield flexural strength  
309  $M_{y,XTRACT}$  was determined at the yielding of boundary longitudinal rebar, and the  
310 flexural strength capacity  $M_{p,XTRACT}$  was determined when the compressive strain of  
311 extreme compression fiber reached 0.003.

312 Four main observations can be made from the lateral load responses in Fig. 11. (1)  
313 Specimens HSW1 through HSW3 which were defined as the flexural-sliding failure  
314 showed post-peak strength degradation, while specimen HSW4 that was defined as a  
315 flexural failure did not show strength degradation till sudden fracture of boundary  
316 longitudinal rebar. (2) For specimens HSW1 through HSW3, the longitudinal boundary  
317 rebar yielded first, followed by the yielding of vertically distributed rebar. While for  
318 specimen HSW4, the vertically distributed rebar yielded earlier than the boundary

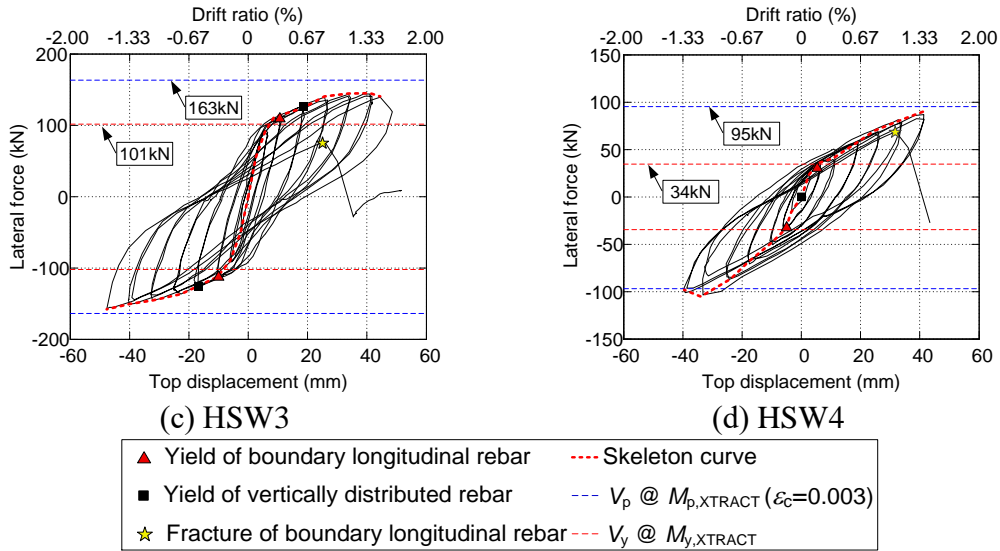
319 longitudinal rebar after application of axial tensile force due to the nonuniform axial  
320 stress distribution along the wall section. For all specimens, the measured yield strength  
321 corresponding to the yield of all boundary longitudinal rebar correlated well with the  
322 calculated value from the XTRACT cross-section analysis. (3) For specimens HSW1  
323 through HSW3, the experimental lateral load did not reach the calculated value of the  
324 flexural strength capacity which corresponds to the extreme concrete compression fiber  
325 strain of 0.003, because the mechanism transition from the flexure to sliding impeded  
326 the full development of the flexural strength capacity of the walls. However, specimen  
327 HSW4 which failed in the flexural mode developed its strength approaching to the  
328 flexural strength capacity calculated by XTRACT. (4) Specimens HSW1, HSW2 and  
329 HSW3, showed somehow pinching in hysteresis loop, which is suspected to be related  
330 to the opening and closure of flexural cracks. However, no pinching was observed in  
331 specimen HSW4, as the horizontal cracks in specimen HSW4 did not completely close  
332 under the lateral loading reversal and its hysteresis response was mainly controlled by  
333 vertical reinforcement.



(a) HSW1



(b) HSW2



**Fig. 11.** Lateral force versus top displacement response for all test walls.

334 *3.3. Lateral strength and deformation capacities*

335 Table 3 summarizes the measured yield strength ( $V_y$ ) and corresponding yield drift  
 336 ( $\Delta_y$ ), the peak lateral strength ( $V_p$ ) and corresponding drift ( $\Delta_p$ ), and the ultimate drift  
 337 ( $\Delta_u$ ) and corresponding drift ratio ( $\theta_u$ ). The yield point corresponds to the yielding of  
 338 boundary longitudinal rebar and it was determined using the strain measurement data.  
 339 The ultimate drift is defined as the post-peak drift at the instant when the lateral load  
 340 decreases to 85% of the peak load [17]. For specimens HSW3 and HSW4, the post-  
 341 peak strength did not decrease below 85% of the peak load till failure (i.e., loss of axial  
 342 tensile strength capacity due to rebar fracture). In such a case, the ultimate drift is  
 343 defined as the maximum drift that the specimen endures with a full cycle before failure.  
 344 The ultimate drift ratio is calculated as  $\theta_u = \Delta_u / H$ , where  $H$  is the height of the LVDT  
 345 D29 relative to the wall base. The values of  $\theta_u$  shown in Table 3 are the average values  
 346 measured during the positive and negative loading.

347 The data presented in Table 3 indicate that applied axial tension significantly  
 348 influenced the lateral strength capacity of the wall specimens. The maximum lateral

349 strength of specimen HSW1 ( $n_c = 0.33$ ) was larger than that of HSW4 ( $n_c = 1.73$ ) by  
 350 59%. All specimens that failed in flexural-sliding mode exhibited consistent ultimate  
 351 drift ratio of 1.6%. The ultimate drift of specimen HSW4 that failed in flexural mode  
 352 was 1.3%, approximately 20% smaller than that of the other specimens.

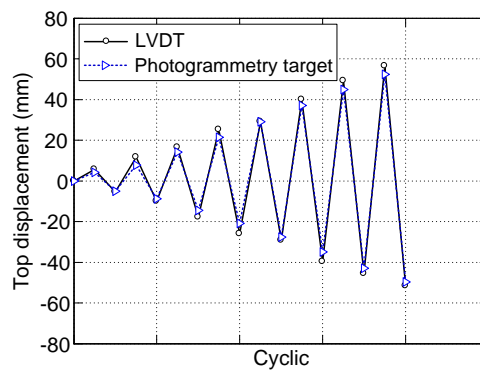
353 **Table 3** Lateral strength and deformation capacities of test walls.

Spec. no	Direction	$\Delta_y$ /mm	$V_y$ /kN	$\Delta_p$ /mm	$V_p$ /kN	$\Delta_u$ /mm	$\theta_u$
HSW1	N+	10.3	189.5	17.3	200.2	46.0	1.6%
	S-	-10.0	-187.4	-17.7	-195.3	-50.2	
HSW2	N+	10.8	157.8	39.9	189.1	50.5	1.6%
	S-	-11.6	-156.6	-39.8	-187.2	-43.7	
HSW3	N+	8.7	110.3	41.1	143.9	48.6	1.6%
	S-	-10.4	-112.6	-47.7	-157.0	-47.7	
HSW4	N+	5.7	34.3	41.3	86.8	41.3	1.3%
	S-	-5.1	-33.3	-33.0	-103	-38.7	

#### 354 3.4. Deformation analysis

355 The deformation components, including flexural, shear and sliding deformations,  
 356 were calculated using measurements from the photogrammetric system. The accuracy  
 357 of the photogrammetric system was validated by comparison with the LVDT  
 358 measurement data. An example is shown in Fig. 12, where the photogrammetric  
 359 measurement tracked well with the LVDT data for the lateral top displacement of  
 360 specimen HSW2, with an error of 6%. The flexural and shear deformations at different  
 361 locations along the height of the wall were calculated from the relative movement of  
 362 two adjacent rows of photogrammetry targets. The flexural deformations were  
 363 computed by integrating the rotations calculated from these measurements along the

364 wall height. The shear deformations were computed for each region bordered by four  
365 targets using the method proposed by Massone and Wallace [20]. The sliding  
366 deformation developed at wall base was calculated by averaging the horizontal  
367 displacement of the lowest row of photogrammetry targets which were set at 150 mm  
368 height above the wall base. The contributions of flexural and shear deformations to the  
369 horizontal displacement at the 150 mm height was negligible compared to the sliding  
370 deformation, once the base sliding occurred.

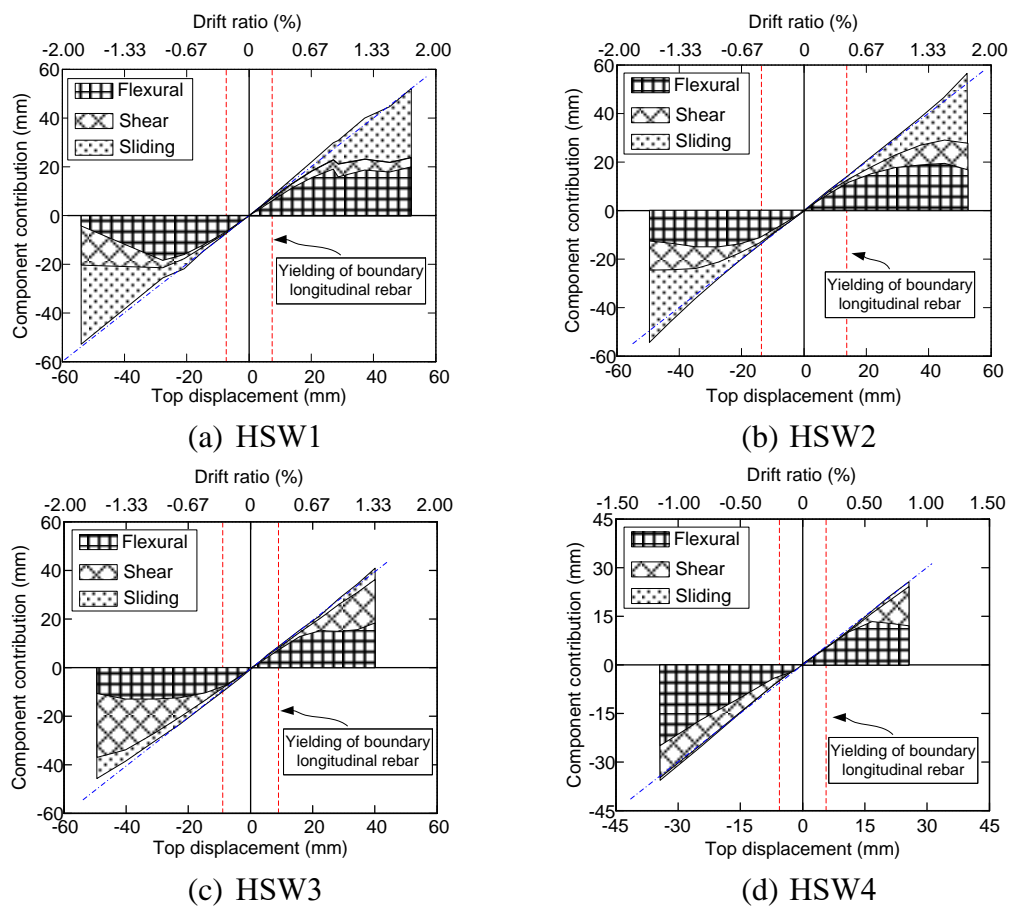


**Fig. 12.** Validation of photogrammetric system measurement for HSW2.

371 Fig. 13 shows the contributions of flexural, shear and sliding deformations for  
372 specimens HSW1 through HSW4. The following observations can be obtained from  
373 the results shown in Fig. 13: (1) Prior to the yielding, flexural deformations dominated  
374 the response, exceeding 85% of the total lateral displacement for all specimens. (2) For  
375 the specimens HSW1 through HSW3 that failed in flexural-sliding mode, obvious base  
376 sliding occurred after flexural yielding of the walls. The base sliding deformation  
377 contributed to 58%, 52% and 20% for the top lateral displacement at failure of  
378 specimens HSW1, HSW2 and HSW3, respectively. However, specimen HSW4 that  
379 failed in a flexural mode showed no base sliding deformation. (3) After flexural yielding,  
380 significant shear deformations were developed for all specimens, although the nominal



381 shear strength of the walls calculated per Chinese code JGJ 3-2010 formulas was  
 382 approximately 1.5 times larger than the applied maximum lateral shear forces. The  
 383 shear deformation contributed to 19%, 20%, 51% and 28% for specimens HSW1  
 384 through HSW4 at failure, respectively. This is due to the shear-flexural interaction,  
 385 where the inelastic flexural deformations of walls led to inelastic shear deformations  
 386 developed in plastic hinge region. Similar observations of shear-flexural interaction  
 387 were found for the tests by Massone and Wallace [20], where the slender RC wall  
 388 specimens with the shear-to-span ratio of 3.0 were subjected to combined axial  
 389 compression (axial force ratio = 0.10) and lateral cyclic loading.



**Fig. 13.** Deformation contributions of wall specimens

## 390 4. Theoretical analysis and design recommendations

### 391 4.1 Effective flexural stiffness

392 The effective flexural stiffness  $EI_{\text{eff}}$  is one of the key design parameters of the walls  
393 used for linear response spectrum analysis. The effective flexural stiffness is determined  
394 using the idealized force-displacement curve method in accordance with ASCE/SEI 41-  
395 13[21], which is smaller than the gross flexural stiffness  $EI_g$  due to the effect of concrete  
396 cracking and bond slip. Table 4 summarizes the equations for calculation of effective  
397 stiffness for RC cracked walls. ACI 318-14 [9] and ASCE/SEI 41-13 [21] recommend  
398  $0.35EI_g$  and  $0.50EI_g$  for cracked concrete section, respectively. Paulay & Priestley [22]  
399 recommend the effective stiffness shown in Eq. (5), where the effective stiffness  
400 linearly increases with an increase in the axial compressive force ratio. Adebar et al.  
401 [23] recommend the upper and lower bounds of effective flexural stiffness, which also  
402 take into account the effect of axial compressive force ratio shown in Eq. (6). While  
403 these equations have been compared and validated with test data of walls under  
404 combined flexure and axial compressive load [14], it is not clear whether these  
405 equations can be extended to use for RC walls under combined flexure and axial tensile  
406 demands.

407 **Table 4** Effective flexural stiffness for cracked RC wall.

ACI 318-14 [9]	$(EI)_{\text{eff}} = 0.35EI_g$	(3)
ASCE/SEI 41-13 [21]	$(EI)_{\text{eff}} = 0.50EI_g$	(4)
Paulay & Priestley [22]	$(EI)_{\text{eff}} = \left( \frac{100}{f_y} + \frac{N}{f_c A_g} \right) EI_g < EI_g$	(5)

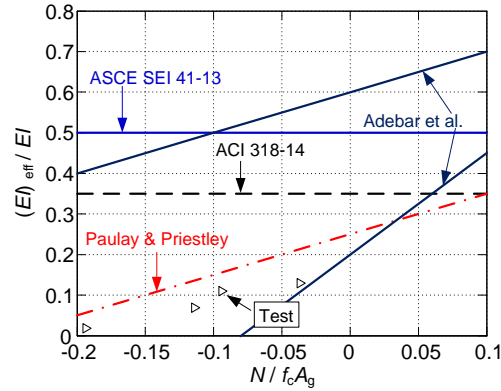
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$$\text{Adebar et al. [23]} \quad (EI)_{\text{eff}} = \begin{cases} (0.6 + N/f_c A_g) EI_g \leq EI_g & \text{Upper-bound} \\ (0.2 + 2.5N/f_c A_g) EI_g \leq 0.7 EI_g & \text{Lower-bound} \end{cases} \quad (6)$$


---

408 Note:  $f_y$  denotes the yield strength of longitudinal rebar;  $EI_g$  denotes the gross flexural  
 409 stiffness of wall section;  $f_c$  denotes axial compressive strength of concrete;  $A_g$  denotes  
 410 gross cross-sectional area;  $N$  denotes the axial force. The sign of  $N$  is defined as positive  
 411 for compression and negative for tension in Eqs. (5) and (6) and Fig 14, which is  
 412 different from the rest of this paper.

413 Fig. 14 shows the relationship of effective flexural stiffness normalized with gross  
 414 flexural stiffness  $EI_g$  versus axial force ratios  $N/(f_c A_g)$ . The following observations can  
 415 be obtained from Fig. 14: (1) The effective stiffness of wall sections decreased as the  
 416 axial tensile force increased. For specimen HSW1 ( $N/(f_c A_g) = -0.009$ ), the effective  
 417 flexural stiffness  $EI_{\text{eff}} = 0.10EI_g$ , while for HSW4 ( $N/(f_c A_g) = -0.19$ ),  $EI_{\text{eff}} = 0.018EI_g$ . (2)  
 418 ACI 318-14 and ASCE/SEI 41-13 codes overestimated the effective flexural stiffness  
 419 of RC walls under the combined flexure and axial tensile load by a considerable amount.  
 420 (3) The equation proposed by Paulay & Priestley (Eq. (5)) captured the general trend  
 421 of effective flexural stiffness variation with axial tensile forces, but still overestimated  
 422 the effective flexural stiffness. (4) Although all test data fell into the region between the  
 423 upper and lower bounds recommended by Adebar et al., there was large uncertainty  
 424 between those two bounds. Therefore, it is necessary to accumulate more test data and  
 425 further develop the formulas for effective flexural stiffness of RC walls subjected to  
 426 axial tensile load.



**Fig. 14.** Evaluation of effective flexural stiffness of RC tensile walls.

427 *4.2. Validation of flexural strength design*

428        Leading design codes (e.g., ACI 318-14 (U. S.), Eurocode 8 (Europe) [24], GB  
 429 50010-2010 (China), NZS 3101:2006 (New Zealand) [25]) specify a standard sectional  
 430 calculation for nominal flexural strength of RC members. The sectional calculation  
 431 follows the assumptions: (1) Plane sections remain plane after bending; (2) The  
 432 maximum strain at the extreme concrete compression fiber is assumed equal to 0.003  
 433 (ACI 318-14, NZS 3101:2006) or 0.0033 (GB 50010-2010); (3) Tensile strength of  
 434 concrete is neglected; (4) The stress-strain relationship of steel is represented by a  
 435 bilinear model, neglecting the strain hardening effect; and (5) The relationship between  
 436 concrete compressive stress and strain is represented by a rectangular, trapezoidal,  
 437 parabolic, or other reasonable shape. The equivalent rectangular stress block can be  
 438 used to calculate the contribution of compressive concrete. However, in order to reduce  
 439 the iterations required to calculate the flexural strength of members with distributed  
 440 reinforcement, sectional analysis software (e.g., XTRACT) is often implemented to  
 441 design RC walls.

442        Except for the standard sectional calculation, JGJ 3-2010 (China) [10] provides

443 simplified equations to calculate the strength of RC rectangular walls under combined  
 444 flexure and axial tensile load. These equations use linear plots to approximate the  $M-N$   
 445 interaction diagram in the tension-flexure domain, given by:

$$\frac{N}{N_u} + \frac{M}{M_u} = 1 \quad (7)$$

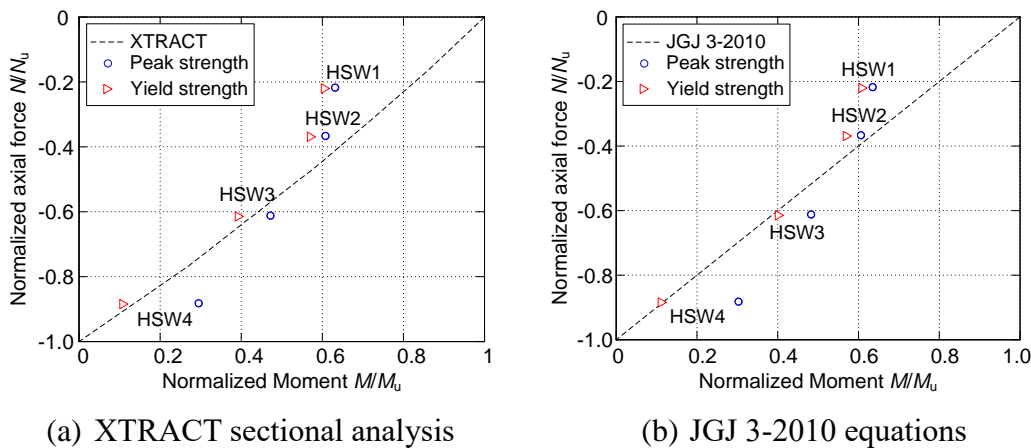
$$N_u = 2f_y A_s + f_{yw} A_{sw} \quad (8)$$

$$M_u = f_y A_s (h_{w0} - d_c) + f_{yw} A_{sw} \frac{(h_{w0} - d_c)}{2} \quad (9)$$

446 where  $N$  and  $M$  denote the axial tensile force and bending moment in a wall section,  $N_u$   
 447 denotes the axial tensile yield strength,  $M_u$  denotes the pure flexural strength without  
 448 application of axial forces,  $f_y$  denotes the yield strength of boundary longitudinal  
 449 reinforcement,  $A_s$  denotes the cross-sectional area of longitudinal reinforcement in one  
 450 boundary element,  $f_{yw}$  denotes the yield strength of vertically distributed reinforcement,  
 451  $A_{sw}$  denotes the cross-sectional area of vertically distributed reinforcement,  $h_{w0}$  denotes  
 452 the effective depth of wall section, and  $d_c$  denotes the depth from the extreme concrete  
 453 compression fiber to the centroid of compressive boundary longitudinal rebar.

454 Fig. 15 (a) and (b) plot the normalized  $M-N$  interaction diagram for the test wall  
 455 section, obtained from XTRACT analysis and JGJ 3-2010 equations respectively. The  
 456 test values of yield and peak flexural strength for all specimens are also plotted in the  
 457 figure. Note that in the calculation of the wall's nominal flexural strength, the XTRACT  
 458 model satisfied the assumptions specified in ACI 318-14 code for standard sectional  
 459 calculation, and the concrete confinement effect and strain hardening of reinforcement  
 460 were not considered. The JGJ 3-2010 equations provides similar prediction of the wall's

461 flexural strength as the sectional analysis result by XTRACT. The calculated flexural  
 462 strength by XTRACT analysis and per JGJ 3-2010 equations correlates well with the  
 463 test yield strength, expect for specimen HSW1. Both the XTRACT analysis and JGJ 3-  
 464 2010 equations obviously underestimate the maximum flexural strength of specimen  
 465 HSW4. It is because the hysteretic response of specimen HSW4 with high axial tension  
 466 ( $n_c = 1.73$ ) is dominated by cyclic behavior of vertical reinforcement, and cyclic  
 467 hardening of rebar results in a high flexural overstrength which is not reflected in the  
 468 sectional analysis and design code equations.



**Fig. 15** Verification for flexural strength design.

469 *4.3. Moment-curvature*

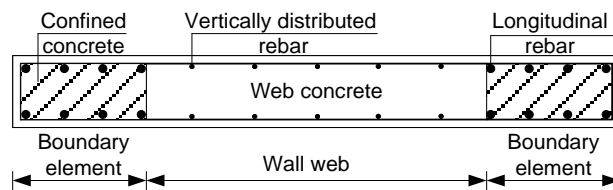
470 The relationship of bending moment versus curvature of RC wall sections can be  
 471 estimated using the cross-section analysis program XTRACT [19], which uses a fiber  
 472 model. The wall section is shown in Fig. 16. Different uniaxial stress-strain  
 473 relationships for the concrete, as shown in Fig. 17(a), were used for boundary element  
 474 and web wall, to reflect the confinement effect provided by transverse reinforcement.  
 475 The Kent-Park model [26] was used to simulate the compressive uniaxial stress-strain  
 476 relationship of cover concrete and web wall concrete, where the peak strain was

477 assumed to be 0.002. The residual compressive strength was taken to be 0.2 times the  
 478 peak strength of concrete. The stirrup confined concrete at the boundary element was  
 479 represented by the Saatcioglu-Razvi model [27], which takes into account the increase  
 480 of the strength and ductility of concrete as a result of the confinement effect. The  
 481 residual compressive strength was also taken to be 0.2 times the peak strength of the  
 482 concrete.

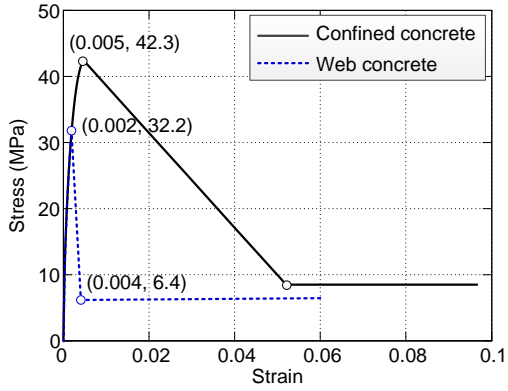
483 The uniaxial stress-strain curves of rebar proposed by Esmaeily and Xiao [28] was  
 484 used for the XTRACT analysis, given by (as shown in Fig. 17(b)).

$$\sigma = \begin{cases} E_s \varepsilon & (0 < \varepsilon \leq \varepsilon_y) \\ f_y & (\varepsilon_y < \varepsilon \leq k_1 \varepsilon_y) \\ k_4 f_y + \frac{E_s(1-k_4)}{\varepsilon_y(k_2-k_1)^2} (\varepsilon - k_2 \varepsilon_y)^2 & (\varepsilon > k_1 \varepsilon_y) \end{cases} \quad (10)$$

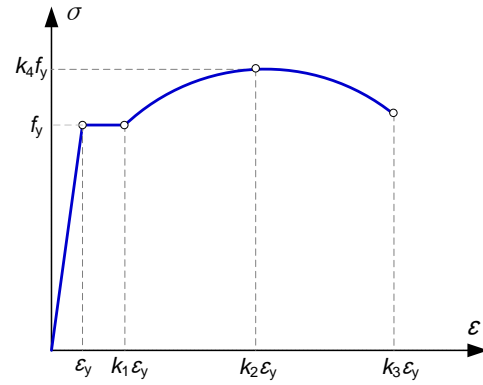
485 where  $f_y$  denotes the yield strength of rebar;  $E_s$  denotes Young's modulus of steel rebar;  
 486  $\varepsilon_y$  denotes the yield strain;  $k_1$  denotes the ratio of the strain at the initiation of hardening  
 487 to the yield strain,  $k_2$  denotes the ratio of strain at the peak stress to the yield strain, and  
 488  $k_4$  denotes the ratio of the ultimate strength to the yield strength. The values of  $k_1$ ,  $k_2$   
 489 and  $k_4$  were determined as the average values obtained from the monotonic stress-strain  
 490 curves of standard rebar tensile tests, as shown in Fig. 17(c) and (d). The analytical  
 491 stress-strain curves using Eq. (10) are also plotted in these figures, which matched well  
 492 with the rebar tensile test results.



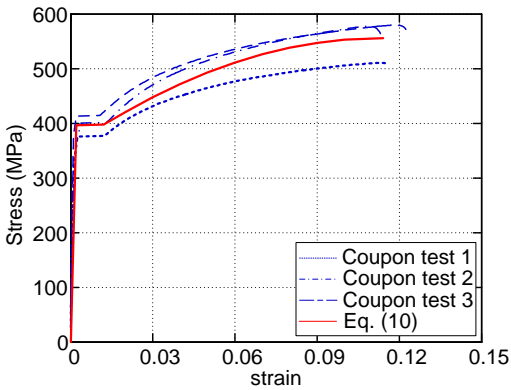
**Fig. 16.** Sections of wall specimens in XTRACT.



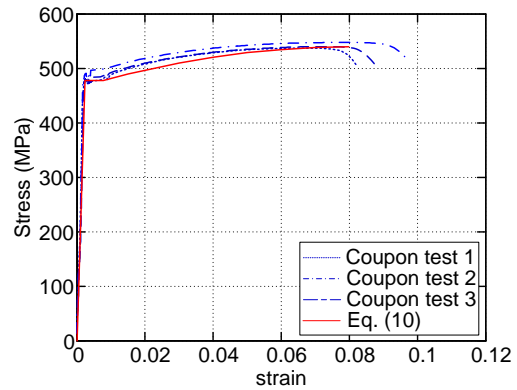
(a) Concrete model



(b) Skeleton curve of rebar



(c) D10 rebar



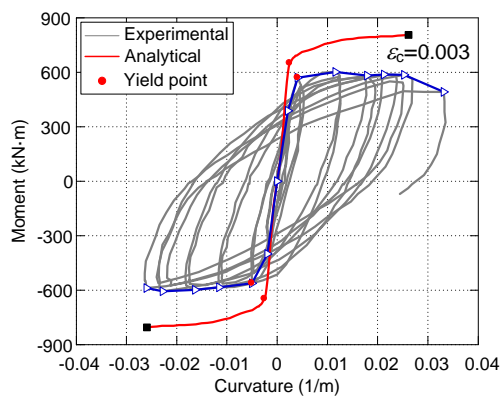
(d) D14 rebar

**Fig.17.** Strain-stress relation of materials in XTRACT.

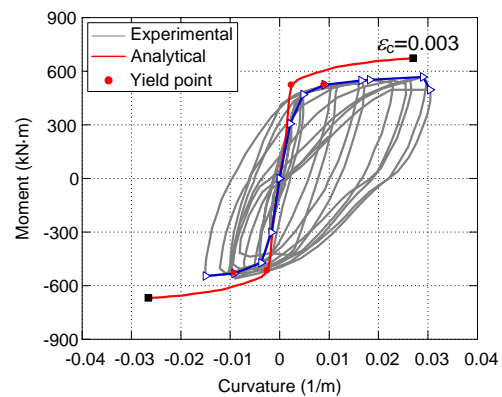
493 Fig. 18 shows the moment-curvature response predicted using the program  
 494 XTRACT, compared with the experimental responses of specimens HSW1 through  
 495 HSW4. The experimental moment corresponds to the wall base, and it is calculated  
 496 from the applied lateral force. The experimental curvature is the average curvature over  
 497 the lower 300 mm of the wall, which is calculated by the value obtained from LVDTs  
 498 D1 and D8 (as shown in Fig. 5(a)). The effective flexural stiffness  $EI_{\text{eff}}$  obtained from  
 499 the analytical moment-curvature curves is approximately 30% higher than the test  
 500 values. The reason of overestimation of effective flexural stiffness is likely because the  
 501 sectional analysis does not consider the influence of local deformation induced by  
 502 concrete crack opening and reinforcement bond slippage at the wall base. The analytical  
 503 yield strength corresponding to the yield of boundary longitudinal rebar appears to



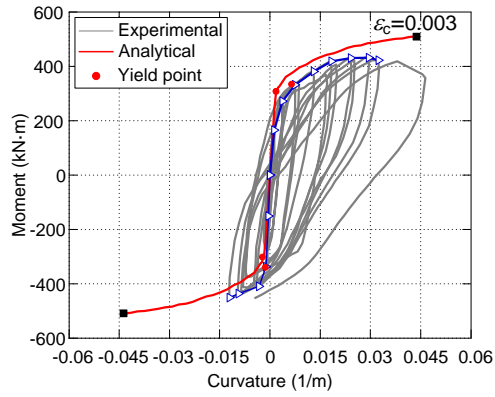
504 correlate well with the test results. After the yield of boundary longitudinal rebar, for  
505 specimens HSW1 through HSW3, the analytical lateral strengths is higher than the  
506 experimental strength, because the sliding observed in the tests impedes the full  
507 development of the flexural strength capacity of the walls. For the three wall specimens,  
508 the experimental curvature in the positive direction is larger than the negative direction  
509 for the sliding deformation mainly occurred in the negative direction. For specimen  
510 HSW4, the analytical yield strength is similar as the test value, while the XTRACT  
511 analysis significantly underestimates the post-yielding strength development. It is  
512 because the monotonic-load analysis cannot reasonably represent the cyclic hardening  
513 of rebar. It is noted that the experimental curvature of specimen HSW4 may not be  
514 accurate, because the vertical displacement measurements at wall base indicates that  
515 the plane-section assumption is not satisfied for this wall under high axial tension.



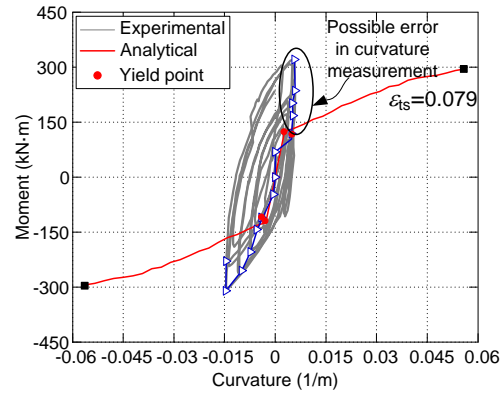
(a) HSW1



(b) HSW2



(c) HSW3



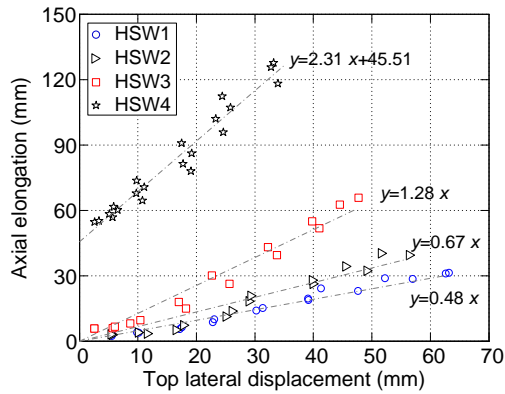
(d) HSW4

**Fig. 18.** Moment versus curvature for specimens.

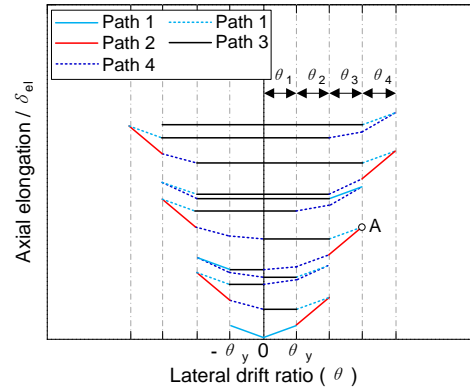
516 **5. Axial elongation**

517 *5.1. Measured axial elongation*

518 The high axial elongation of RC walls is of particular interest, especially at the  
 519 loading stage of combined axial tension and cyclic lateral loading. Fig. 19 shows the  
 520 relationship of axial elongation at the centroid of the wall top versus lateral  
 521 displacement peaks for specimens HSW1 through HSW4. Note that, the wall axial  
 522 elongation data for specimen HSW1 through HSW3 were directly measured by the  
 523 vertical LVDT 30 (as shown in Fig. 5). The axial elongation of HSW4 was measured  
 524 by photogrammetric system, because the LVDT 30 installed in this specimen dropped  
 525 during the testing. Fig. 19 indicates that the axial elongation appears to linearly increase  
 526 as displacement increases for all walls, with factors of 0.48, 0.67, 1.28 and 2.31 for  
 527 specimens HSW1 through HSW4, respectively. These factors increases along with an  
 528 increase in the normalized reinforcement tensile stress of the wall specimens. It is also  
 529 noted that specimen HSW4 has axial elongation initially after application of the high-  
 530 level of axial tensile force due to yielding of vertical reinforcement, which is different  
 531 from other three specimens.



**Fig. 19.** Axial elongation versus lateral displacement for specimens.



**Fig. 20.** Analytical model for calculation of axial elongation of RC walls.

532 *5.2. Models for predicting axial elongation*

533 In recent years, the axial elongation of RC walls has received attention in both  
 534 research and design. In New Zealand Concrete Structures Standard (NZS 3101:2006),  
 535 an estimate for the axial elongation at the ultimate limit state has recently been  
 536 introduced based on geometrical relationship assuming that the accumulation due to  
 537 cyclic loading is minimal for RC walls subjected to axial compressive load [25]. This  
 538 procedure was confirmed by Encina et al. who compared the estimated elongations  
 539 against the measured elongations from a number of RC wall tests [29]. Matthews et al.  
 540 [30] provided a prediction of RC beam elongation under cyclic loading using a rainflow  
 541 method. Based on the experimental characteristics of wall elongation, Lee & Watanabe  
 542 [31] proposed a simplified model to predict the axial elongation in the plastic region,  
 543 which considers the influence of loading, unloading and reloading. Jensen [32]  
 544 proposed a more accurate design model by incorporating loading dependent nature of  
 545 axial elongation as described in the Lee & Watanabe model [31] into the rainflow  
 546 method.

547 The Lee & Watanabe model was used to analyze the test wall elongation response.

548 In the model, the axial elongation includes four path types (as shown in Fig. 20). (1)  
 549 Path 1: Pre-flexural yield and unloading region, which describes elastic axial elongation  
 550 at loading stage and recoverable elastic axial elongation at unloading stage; (2) Path 2:  
 551 Post-flexural yield region, where axial elongation is generated due to plastic rotation;  
 552 (3) Path 3: Slip region; and (4) Path 4: Repeated loading region, where axial elongation  
 553 is accumulated upon repeated rotation cycles and the extent of which is described as  
 554 decreasing approximately inversely proportional to the number of repeated cycles.

555 Therefore, the cumulative axial elongation of wall under the reversed cyclic  
 556 loading  $\delta_{el}$  can be calculated as follow [31]:

$$\delta_{el} = \delta_{Path 1} + \delta_{Path 2} + \delta_{Path 3} + \delta_{Path 4} \quad (11)$$

557 where  $\delta_{Path 1}$ ,  $\delta_{Path 2}$ ,  $\delta_{Path 3}$  and  $\delta_{Path 4}$  denote the cumulative axial elongation at Path  
 558 1, Path 2, Path 3 and Path 4, respectively.

559 By assuming the decreased rate in axial elongation at unloading stage is the same  
 560 as the increasing rate of axial elongation in the elastic region. The cumulative axial  
 561 elongation at the Path 1 ( $\delta_{Path 1}$ ) can be calculated as follows [31]:

$$\delta_{Path 1} = (1 - F) \delta_y \quad (12)$$

$$\delta_y = \left( \frac{h/2 - c}{d - c} \right) \varepsilon_y l_n \quad (13)$$

562 where  $F$  denotes the number of unloading cycles beyond flexural yielding,  $\delta_y$  denotes  
 563 the axial elongation at the instant of flexural yielding,  $h$  denotes the overall depth of  
 564 wall section,  $c$  denotes the neutral axis depth at the flexural yield point,  $d$  denotes the  
 565 effective depth of section,  $\varepsilon_y$  denotes the yield strain of tensile rebar, and  $l_n$  denotes the  
 566 length of plastic hinge region which is taken as  $0.5h$ .

567 In order to simplify the calculation, the value of neutral axis depth  $c$  can be  
 568 calculated using Eq. (14) [33]. It is noted that this equation does not consider the  
 569 influence of axial tensile force.

$$c = n_E \rho_1 \left( \sqrt{(1+r)^2 + \frac{2}{n_E \rho_1} \left(1 + r \frac{d_c}{d}\right)} - (1+r) \right) h \quad (14)$$

570 where  $n_E$  denotes the ratio of elastic modulus of steel and concrete,  $\rho_1$  denotes the ratio  
 571 of tensile boundary longitudinal reinforcement,  $r$  denotes the ratio of sectional areas of  
 572 compressive and tensile reinforcement, and  $d_c$  denotes the depth from the extreme  
 573 concrete compression fiber to the center of compression reinforcement.

574 The cumulative axial elongation at the Path 2 ( $\delta_{\text{Path 2}}$ ) can be calculated using Eq.  
 575 15 [31]:

$$\delta_{\text{Path 2}} = (|\theta_p^+| + |\theta_p^-|) \frac{d'}{2} \quad (15)$$

576 Where  $\theta_p^+$  and  $\theta_p^-$  denote the positive and negative plastic rotations for one cycle  
 577 respectively,  $d'$  denotes the depth between the centroids of compression and tension  
 578 reinforcement.

579 The cumulative axial elongation at the Path 3 ( $\delta_{\text{Path 3}}$ ) can be neglected due to an  
 580 opposing and similar magnitude change in compression and tension reinforcement of a  
 581 wall. Therefore, the value of  $\delta_{\text{Path 3}}$  can be calculated using Eq. (16) [31]:

$$\delta_{\text{Path 3}} = 0 \quad (16)$$

582 The cumulative axial elongation at the Path 4 ( $\delta_{\text{Path 4}}$ ) can be calculated using Eq.  
 583 (17).

$$\delta_{\text{Path 4}} = \sum_{i=1}^m \left[ \sum_{j=1}^{N_j} \left( \frac{\theta_i d'}{2l_n} \right)^{0.85} \frac{l_n}{4j} \right] \quad (1 \leq N_j \leq 5) \quad (17)$$

584 where  $\theta_i$  denotes the  $i_{th}$  rotation component and  $N_j$  denotes the number of the reload for

585 rotation component  $\theta_i$ . Point A (as shown in Fig. 20) is used to explain Eq. (17). The  
 586 rotation of Point A can be divided into three components:  $\theta_1$ ,  $\theta_2$  and  $\theta_3$ . The values of  
 587  $N_j$  corresponding to  $\theta_1$  through  $\theta_3$  are 4, 3 and 0. Hence, the cumulative axial elongation  
 588 of Point A at the path 4 can be calculated as follows:

$$\begin{aligned}
 A_{\text{Path 4}} &= \sum_{i=1}^m \left[ \sum_{j=1}^{N_j} \left( \frac{\theta_i d'}{2l_n} \right)^{0.85} \frac{l_n}{4j} \right] \\
 &= \left( \frac{\theta_1 d'}{2l_n} \right)^{0.85} \frac{l_n}{4} \left( 1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} \right) + \left( \frac{\theta_2 d'}{2l_n} \right)^{0.85} \frac{l_n}{4} \left( 1 + \frac{1}{2} + \frac{1}{3} \right)
 \end{aligned} \tag{18}$$

589 Using the Eqs. (11) through (17), the elongation of RC wall under the cyclic lateral load  
 590 can be estimated using Eq. (19).

$$\delta_{\text{el}} = [1-F]A_y + (|\theta_p^+| + |\theta_p^-|) \frac{d'}{2} + \sum_{i=1}^m \left[ \sum_{j=1}^{N_j} \left( \frac{\theta_i d'}{2l_n} \right)^{0.85} \frac{l_n}{4j} \right] \tag{19}$$

### 591 5.3. Refined model for RC wall elongation

592 In all existing models for wall elongation, the effect of axial force on the axial  
 593 elongation was considered by the depth of the compressive zone, with consideration  
 594 mostly to members subjected to axial compression loads. If a modest axial compression  
 595 load is applied to an RC wall, the axial force is sufficient to close flexural cracks on  
 596 load reversal, and thus the magnitude of axial compression has little effect on the cyclic  
 597 axial elongation [29]. However, axial tensile force can significantly increase axial  
 598 elongation and this has not been considered in previous models or calculations.

599 To accurately evaluate the axial elongation of RC walls subjected to axial tension  
 600 and cyclic lateral loading, the model proposed by Lee & Watanabe [31] is further  
 601 developed to consider the influence of axial tensile force. Based on the experimental  
 602 observations, these following assumptions are used in this refined model: (1)

603 Recoverable elastic axial elongation at the path 1 should be reduced, as the axial  
 604 elongation does not completely recover at the unloading stage for presence of constant  
 605 axial tensile load. (2) The axial elongation at the loading stage (Path 2) and reloading  
 606 stage (Path 4) shall be increased to allow for the effect of axial tensile force.

607 For the presence of constant axial tensile force, the recoverable elastic axial  
 608 elongation is assumed to be  $(1-n_s)\delta_y$ , where  $n_s$  denotes the normalized reinforcement  
 609 tensile stress as discussed in section 2.2. Therefore, the cumulative axial elongation of  
 610 Path 1 ( $\delta_{\text{Path 1}}$ ) can be calculated using Eq. (20):

$$\delta_{\text{Path 1}} = \left[ 1 - F(1 - n_s) \right] \delta_y \quad (20)$$

611 The axial elongation at path 2 and path 4 is assumed to be amplified by  $(1+\alpha)$  times  
 612 due to the effect of axial tensile load. The factor  $\alpha$  equals to the ratio of axial elongation  
 613 caused by axial tensile force  $\delta(N)$  to that caused by flexural deformation  $\delta(M)$ . If  
 614 assuming that the factor  $\alpha$  is approximate to the ratio of tensile strain of boundary  
 615 longitudinal rebar caused by the axial tensile force to that caused by bending moment  
 616 at the yield point, the value of  $\alpha$  can be calculated as follows:

$$\alpha = \frac{\delta(N)}{\delta(M)} = \frac{n_s \varepsilon_y}{(1 - n_s) \varepsilon_y} = \frac{n_s}{1 - n_s} \quad (21)$$

617 Therefore, the cumulative axial elongation at the Path 2 and path 4 can be calculate  
 618 using Eqs. (22) and (23).

$$\delta_{\text{Path 2}} = (1 + \alpha) (|\theta_p^+| + |\theta_p^-|) \frac{d'}{2} \quad (22)$$

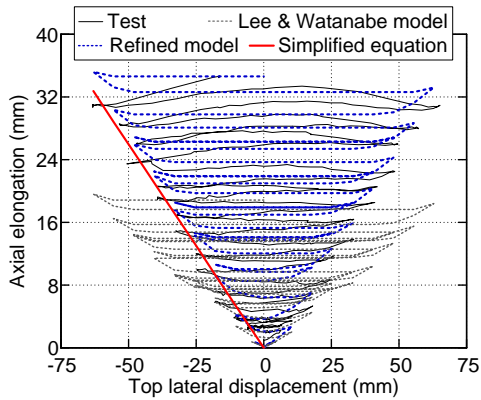
$$\delta_{\text{Path 4}} = (1 + \alpha) \sum_{i=1}^m \left[ \sum_{j=1}^{N_j} \left( \frac{\theta_i d'}{2l_n} \right)^{0.85} \frac{l_n}{4j} \right] \quad (1 \leq N_j \leq 5) \quad (23)$$

619 Using the Eqs. (20) through (23), the axial elongation of RC walls under axial

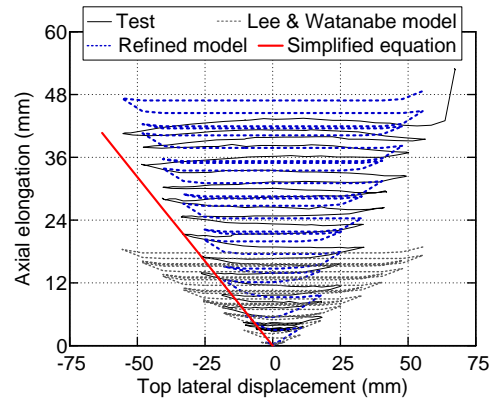
620 tensile force and cyclic lateral loading can be estimated as follows:

$$\delta_{el} = \left[ 1 - F(1 - n_s) \right] \delta_y + (1 + \alpha) (|\theta_p^+| + |\theta_p^-|) \frac{d'}{2} + (1 + \alpha) \sum_{i=1}^m \left[ \sum_{j=1}^{N_i} \left( \frac{\theta_i d'}{2l_n} \right)^{0.85} \frac{l_n}{4j} \right] \quad (24)$$

621 Fig. 21 (a)-(d) compares the experimentally measured axial elongation with the  
 622 axial elongation obtained from the refined model. The calculated axial elongation using  
 623 Eq. (19) recommended by Lee & Watanabe are plotted in Fig. 21 as well. These figures  
 624 indicate that the Lee & Watanabe model significantly underestimates the axial  
 625 elongation because it does not consider the effect of axial tensile force. The proposed  
 626 refined model can reasonably trace the axial elongation response of RC walls subjected  
 627 to reverse cyclic loading and axial tension, except for specimen HSW4. Specimen  
 628 HSW4 experienced vertical reinforcement yielding and had large initial axial  
 629 elongation after application of the high-level of axial tensile force, while such initial  
 630 axial elongation was not included in the refined model.

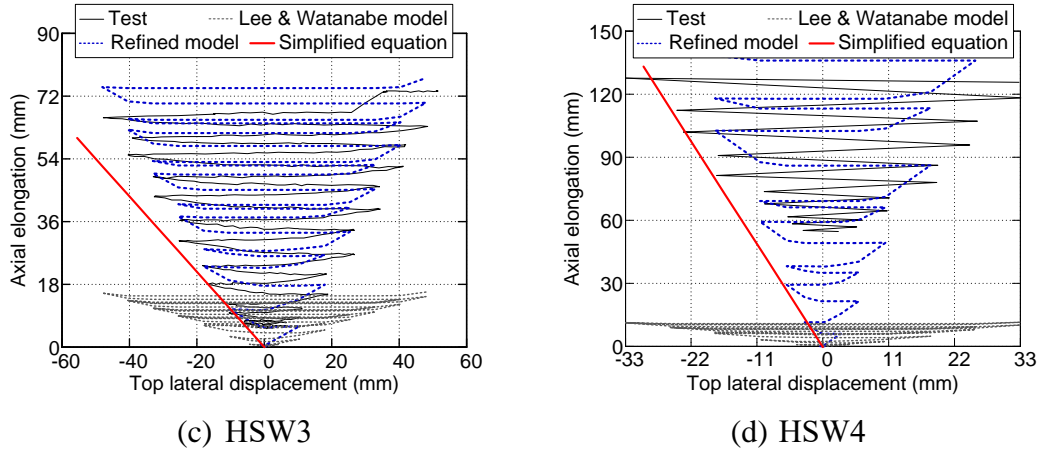


(a) HSW1



(b) HSW2





**Fig. 21.** Comparison between the measured and calculated axial elongation of test walls.

631 *5.4. Simplified equation for RC wall elongation*

632 While the refined model can accurately predict the axial elongation response of  
 633 RC walls subjected to reverse cyclic lateral loading and axial tension, the calculation is  
 634 cumbersome for use in design where only the peak elongations are critical. In order to  
 635 overcome such complexity, a simple equation is proposed to evaluate the envelope  
 636 response of axial elongation. Lee & Watanabe [31] found the decreasing rate of the  
 637 axial elongation in Path 1 and the increasing rate of the axial elongation in path 4 are  
 638 almost equal. Hence, the axial elongation  $\delta_{\text{Path 1}}$  and  $\delta_{\text{Path 4}}$  in path 1 and Path 4 are  
 639 partially eliminated and then the cumulative axial elongation can be obtained in Eq.  
 640 (25):

$$\delta_{\text{el}} = \delta_y + (1 + \alpha) \left( \left| \theta_p^+ \right| + \left| \theta_p^- \right| \right) \frac{d'}{2} \approx (1 + \alpha) \theta d' \quad (25)$$

641 where  $\theta$  denote the rotations of RC wall plastic hinge. Using the simplified Eq. (25),  
 642 the envelope curve of axial elongation can be calculated. The comparison between the  
 643 experimentally observed axial elongation and the simplified envelope curves is also  
 644 shown in Fig. 21. For specimen HSW1, the simplified equation can accurately predict  
 645 the axial elongation peaks. However, the simplified equation slightly underestimates

646 the axial elongation of specimens HSW2 and HSW3, which is attributed to the  
647 decreasing rate of the axial elongation at the unloading stage might be less than the  
648 increasing rate at the reloading stage for the presence of axial tensile force.

649 In general, the proposed equation can provide reasonable estimation on axial  
650 elongation of RC walls under a given plastic rotation and axial tensile force. As the  
651 axial elongation of RC walls may result in force redistribution within the structural  
652 system, accounting for the wall's axial elongation would improve the seismic design  
653 and performance assessment of RC wall structures.

## 654 **6. Conclusions**

655 This study has presented a series of quasi-static tests to investigate the coupled  
656 axial tension-flexure behavior of RC walls, and evaluated the influence of axial tensile  
657 forces on the cyclic flexural performance of RC slender walls. The major findings are  
658 summarized as follows:

659 (1) In the coupled tension-flexure tests, RC wall specimens showed two failure modes,  
660 defined as flexural-sliding failure (for specimens with normalized concrete tensile  
661 stress  $n_c = 0.33 - 0.98$ ) and flexural failure (for specimen with  $n_c = 1.73$ ). The flexural-  
662 sliding failure had a transition from flexural-dominated deformation to the sliding along  
663 the critical crack surface and as such the wall specimens did not fully develop their  
664 flexural strength capacity. The wall specimen that failed in a flexural mode did  
665 successfully develop its flexural strength capacity.

666 (2) The effective flexural stiffness of test walls decreased with an increase of axial  
667 tension forces. Various design formula of effective flexural stiffness for cracked walls

668 have been compared using the test data. The ACI 318-14 and ASCE/SEI 41-13 code  
669 provisions significantly overestimated the effective flexural stiffness of RC walls  
670 subjected to axial tension. Although the equations proposed by Paulay & Priestley and  
671 Adebar et al. consider the influence of axial forces, they did not provide an accurate  
672 prediction of the effective flexural stiffness of RC walls under axial tension.

673 (3) Both sectional analysis using XTRACT and design equations per JGJ 3-2010 (China)  
674 code provided an accurate estimate of the flexural yield strength of the test walls. For  
675 the specimen under high axial tension ( $n_c = 1.73$ ), its hysteretic response was dominated  
676 by cyclic behavior of vertical reinforcement, and cyclic hardening of rebar resulted in  
677 large post-yield overstrength of the wall.

678 (4) The axial elongations of RC wall specimens developed during cyclic lateral loading  
679 were approximately proportional to the axial tensile force level. A refined model was  
680 proposed to estimate the axial elongation response of RC walls including the influence  
681 of axial tensile forces. The refined model can accurately trace the measured axial  
682 elongation response for the RC test walls subjected to reversed cyclic loading and axial  
683 tensile force. Finally, a simplified equation was proposed that can estimate the envelope  
684 response of the axial elongation of RC walls. Reasonable calculation of axial elongation  
685 of RC walls is useful for improved seismic design and performance assessment of  
686 structural wall systems.

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